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Patent
Attorney Docket No. 252/128

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Orzi et al.

Serial No.: 09/535,827

Filed: March 24, 2000

For: OPTICAL FILTER ARRANGEMENT

Group Art Unit: 2872

Examiner: Audrey Y. Chang

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JUL 26 2002

OFFICE OF PETITIONS

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

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The following documents are being transmitted herewith:

1. Petition Under 37 CFR §1.137(b) for Revival;
2. Amendment and Response; and

LA 242104.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 14, 2002

Date of Deposit

David A. Randall

Name of Person Mailing Paper

Signature of Person Mailing Paper

3. Copy of Statement Under 37 CFR 3.73(b) by Jax Holdings, Inc. filed on July 5, 2001 in response to the Notice of Missing Parts.

Respectfully submitted,

LYON & LYON LLP

Dated: July 14, 2002

By: 

David A. Randall
Reg. No. 37,217

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AMENDMENT AND RESPONSE

Commissioner for Patents
Washington, D.C. 20231

Sir:

In the Office Action dated December 28, 2001, the application was objected to under 37 CFR 1.172(a) on the basis that the assignee had not properly established its ownership interest in the patent for which reissue is being sought. In addition, claims 4-14 and 15-26 were rejected under 35 U.S.C. § 112, first paragraph, claims 3, 5-14, and 15-26 were rejected under 37 U.S.C. § 112, second paragraph, claims 1-26 were rejected under 35 U.S.C. § 103(a), and claims 1-26 were rejected under 35 U.S.C. 251.

Before addressing the various objections and rejections of record, Applicant hereby amends the above-identified application as follows:

LA 226394.1

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